

## “Hypoxic-ischemic encephalopathy”

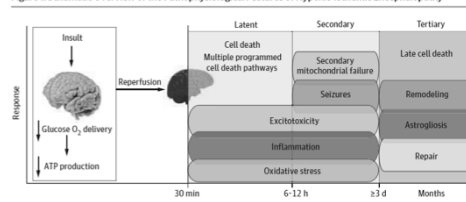
LS de Vries,  
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Department of Neonatology  
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## Hypoxic-Ischemic Encephalopathy: A Review for the Clinician

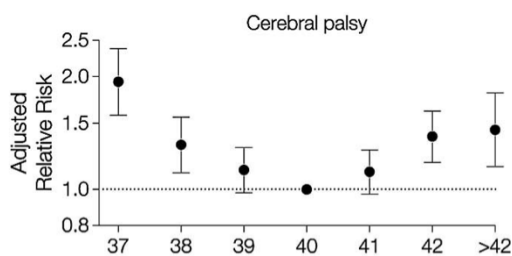
Martha Douglas-Escobar, Michael D. Weiss,  
JAMA-Pediatr 2015

Figure 1. Schematic Overview of the Pathophysiological Features of Hypoxic-Ischemic Encephalopathy



## Cerebral Palsy Among Term and Postterm Births.

Moster D et al; JAMA. 2010;304(9):976-982



## Prenatal Factors in Singletons with Cerebral Palsy Born at or near Term

Nelson and Blair, NEJM 2015

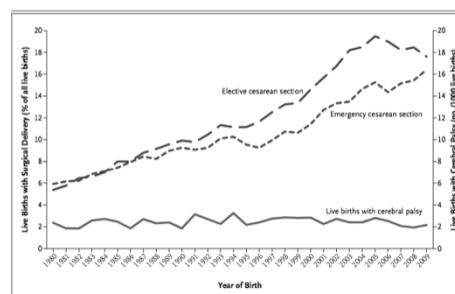


Figure 1. Elective and Emergency Cesarean Sections and Live Births with Cerebral Palsy in Western Australia, 1980-2009.

## Sellier E et al; 2015; Prevalence in NBW children showed a not significant trend from 1.17 to 0.89 per 1000 live births (p=0.22)

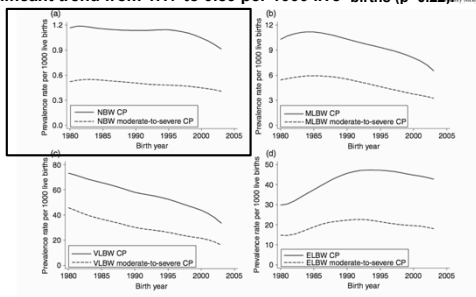
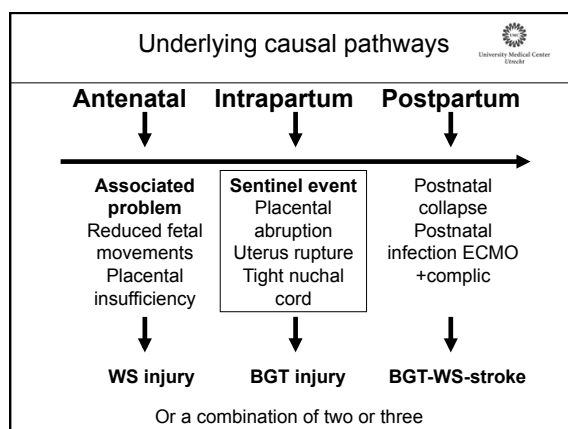


Figure 3. (a) Prevalence rate of cerebral palsy (CP) for children born with a birthweight  $\geq 2500$ g, per 1000 live births. (b) Prevalence rate of CP for children born with a birthweight between 1500g and 2499g, per 1000 live births. (c) Prevalence rate of CP for children born with a birthweight between 1000g and 1499g, per 1000 live births. (d) Prevalence rate of CP for children born with a birthweight below 1000g, per 1000 live births. NBW, normal birthweight; MLBW, moderately low birthweight; VLBW, very low birthweight; ELBW, extremely low birthweight.

## Introduction



- Hypoxic-ischemic encephalopathy (HIE) due to presumed perinatal asphyxia is still of major concern,
- Incidence:  
1-2/1000 for the severely affected infant
- With the introduction of hypothermia, outcome has improved significantly, as reported in infancy and early childhood



**Assessment of HIE**

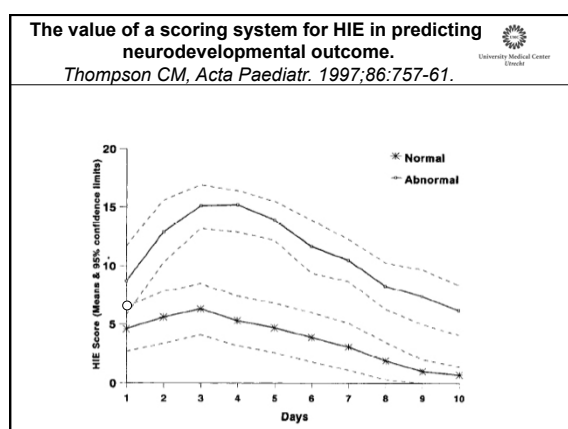
- Neurological assessment
  - Sarnat classification
  - Thompson score
  - UCSF clinical score
- Neurophysiological assessment
  - aEEG/ EEG
  - evoked potentials
- Neuro-imaging
  - cranial ultrasound
  - MRI

**Sarnat score (1976)**

|           | Sarnat and Sarnat (1976)   | Levene et al (1985)     |
|-----------|--|-------------------------|
| Grade I   | Hyperalert   | Resolves < 24-48 h      |
| Grade II  | Lethargic<br>Tube feeding<br>Seizures                            | Improvement < 7 days    |
| Grade III | Stupor<br>Severe hypotonia<br>Tube feeding<br>Prolonged seizures | Improvement up to 6 wks |

The "Thompson score";  
*Thompson CM; Acta Paediatr. 1997;86(7):757-61.*

| Sign     | Score 0 | 1                | 2                     | 3            |
|----------|---------|------------------|-----------------------|--------------|
| Tone     | Normal  | Hypertonia       | Hypotonia             | Flaccid      |
| LOC      | Normal  | Hyperalert stare | Lethargic             | Comatose     |
| Fits     | None    | Infreq < 3/day   | Frequent > 2/day      | Decerebrate  |
| Posture  | Normal  | Fisting, cycling | Strong distal flexion | IPPV (apnea) |
| Moro     | Normal  | Partial          | Absent                |              |
| Grasp    | Normal  | Poor             | Absent                |              |
| Suck     | Normal  | Poor             | Absent ± bites        |              |
| Resp     | Normal  | Hyperventilation | Brief apnea           |              |
| Fontanel | Normal  | Full, not tense  | Tense                 |              |



**aEEG/CFM**

↓

Immediately available  
Long registration  
**No detail**  
**Pattern recognition**

Seizure detection algorithm available

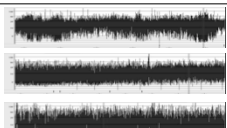
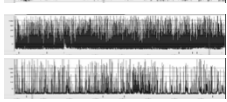
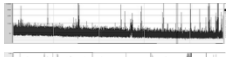

**EEG**

↓

**On appointment**  
**Short registration**  
A lot of detail  
Interpretation difficult

Video-EEG more widely available

**aEEG classification**  
*Hellström-Westas, Rosén, de Vries, Greisen. Neoreviews 2006*

- **Discontinuous (DC)** → 
- **Burst-suppression (BS)** → 
  - Burst density >100/h (BS+)
  - Burst density <100/h (BS-)
- **Low Voltage (LV)** → 
- **Flat (FT) isoelectric** → 

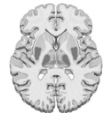

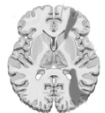
**Neuroimaging in the Evaluation of Neonatal Encephalopathy**  
*Barnette, et al, Pediatrics 2014;133:e1508*

TABLE 2 Neuroimaging Results

|   | Ultrasound        | CT               | MRI               |
|---|-------------------|------------------|-------------------|
| Number of infants                                       | 2006/4111 (48.8)  | 935/4107 (22.7)  | 2690/4109 (65.5)  |
| Day of life at first scan, median (interquartile range) | 2 (1–3); N = 2001 | 2 (2–3); N = 928 | 6 (4–8); N = 2682 |
| Any reported abnormality                                | 642/1965 (32.5)   | 552/930 (59.4)   | 1796/2676 (67.2)  |
| Intraventricular hemorrhage                             | 171/2001 (8.5)    | 110/930 (11.8)   | 228/2686 (8.2)    |
| Extraventricular hemorrhage                             | 59/2003 (2.9)     | 321/927 (34.6)   | 487/2686 (18.1)   |
| Parenchymal hemorrhage                                  | 90/2001 (4.5)     | 125/929 (13.5)   | 292/2687 (10.9)   |
| Deep nuclear gray matter abnormality                    | 140/1984 (7.0)    | 65/926 (7.0)     | 603/2671 (22.6)   |
| Cystic white matter injury                              | 43/1967 (2.2)     | 24/928 (2.6)     | 131/2677 (4.9)    |
| Diffuse white matter injury                             | —                 | —                | 628/2674 (23.3)   |
| Venous or arterial occlusion                            | 23/1980 (1.1)     | 34/925 (3.8)     | 163/2657 (6.1)    |
| Ventriculomegaly  | 84/2004 (4.2)     | 39/929 (4.2)     | 92/2687 (3.4)     |
| Cerebellar injury                                       | 21/1986 (1.1)     | 29/929 (3.1)     | 137/2677 (5.1)    |
| Brainstem injury  | —                 | 7/927 (0.8)      | 126/2677 (4.7)    |
| Diffuse cortical signal abnormality                     | —                 | —                | 572/2673 (21.4)   |
| Parasagittal watershed injury                           | —                 | —                | 265/2665 (10.7)   |
| Absent posterior limb of the internal capsule           | —                 | —                | 114/2659 (4.3)    |
| Other abnormality                                       | 329/2000 (16.5)   | 190/931 (20.4)   | 588/2686 (21.9)   |

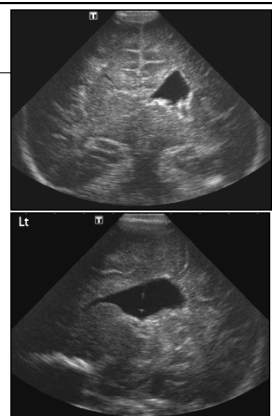
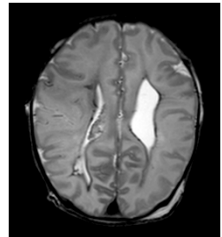
Data presented as n/N (%) unless noted otherwise.

**Importance of cranial ultrasound on admission**

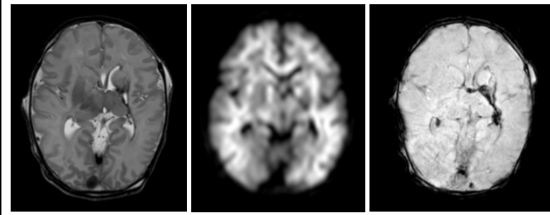
**HIE?**

Reduced fetal movements for 2 days; Preterminal CTG  
 Born by emergency caesarean section for fetal distress.  
 Apgarscores 2, 4 and 6  
 Arterial lactate 21.9 mmol/l.

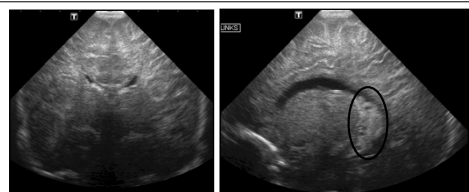



**Hypothermia was started, but... COL4A1 mutation**

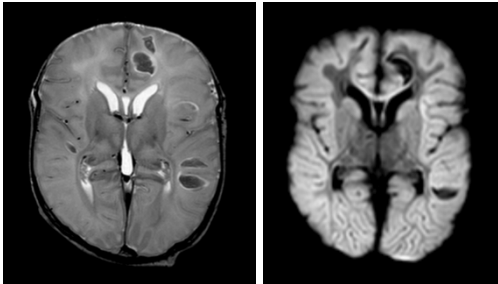
MRI (T2SE, DWI, SWI) day 4



**Ultrasound on admission, no history of HIE**

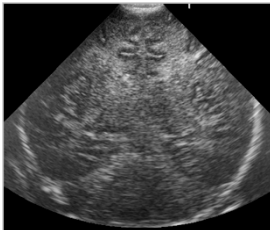



**Molybdenum cofactor deficiency  
(HIE-mimick)**



**Referred for hypothermia**

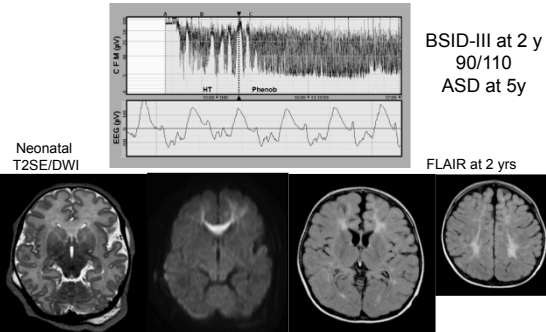
cUS useful on admission for **timing of injury**



GA 40 4/7; EmCS;  
thick meconium;  
Apgar 4/6  
PVE on first cUS

**Epelman**  
Imaging Clin N Am

**EmCS; thick meconium; Apgar 4/6  
PVE on first cUS and seizures < 6 hrs  
MRI day 6 (T2/DWI) and at 2 yrs (FLAIR)**




BSID-III at 2 y  
90/110  
ASD at 5y


FLAIR at 2 yrs

Neonatal  
T2SE/DWI

**Two main patterns of injury**

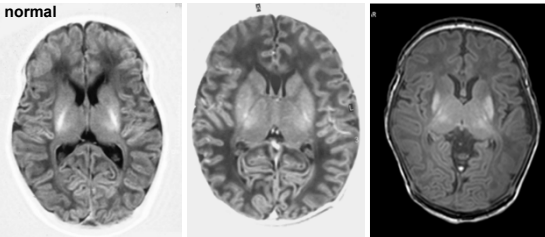


University Medical Center  
Utrecht



| Acute near total asphyxia   | Partial subacute asphyxia   |
|---|---|
| <ul style="list-style-type: none"> <li>• Sentinel event common</li> <li>• Delivery room resuscitation common</li> <li>• Criteria for hypothermia present</li> <li>• Hypothermia given</li> <li>• Outcome clear &lt;18m</li> </ul> | <ul style="list-style-type: none"> <li>• Often normal or mildly complicated labor</li> <li>• No delivery room resuscitation</li> <li>• Often not meeting criteria for hypothermia</li> <li>• No hypothermia</li> <li>• Outcome clear at school</li> </ul> |

**Importance of the PLIC**



normal

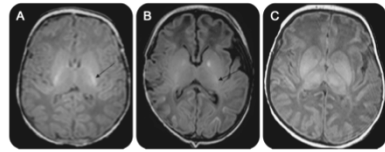
| Abnormal PLIC in relation to outcome;<br><i>Rutherford MA et al; Pediatrics 1998</i> |                   |                     | Best seen in<br>2 <sup>nd</sup> week<br>after birth! |
|--|-------------------|---------------------|--|
|  | Normal<br>outcome | Abnormal<br>outcome |  |
| Normal<br>PLIC   | 28                | 4*                  |  |
| Abnormal<br>PLIC   | 0                 | 41                  |  |

\* these infants had extensive white matter damage;  
sensitivity 0.90; specificity 1; PPV 1; NPV 0.87

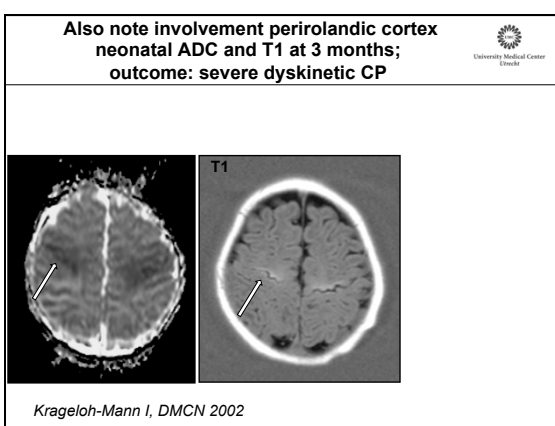
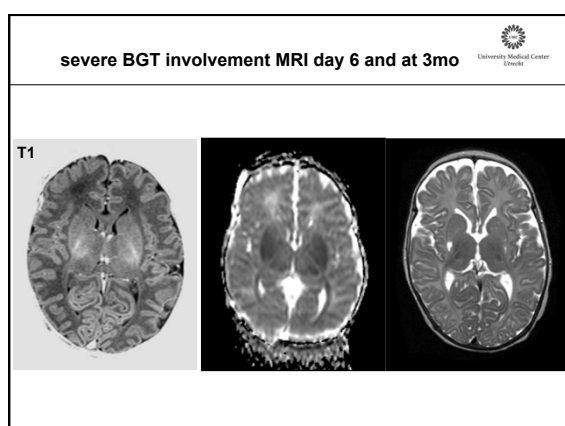
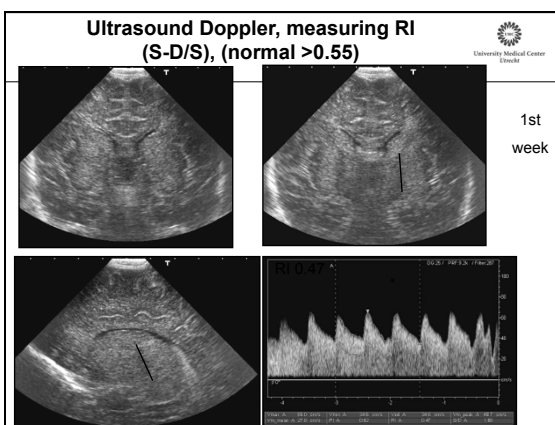
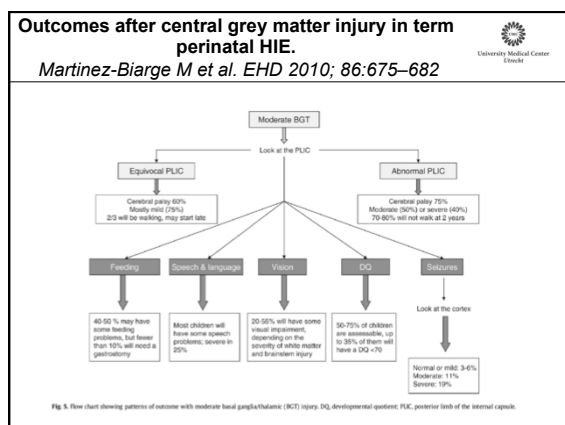
# Predicting motor outcome and death in term HIE.

Martinez-Biarge M et al; Neurology 2011

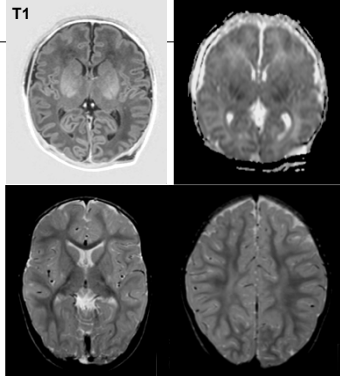
An abnormal predicted the inability to walk independently by 2 years with a sensitivity of 0.92, a specificity of 0.77



|                          | Normal PLIC (24, 14%)<br>(all these infants had mild BGT lesions) | Equivocal PLIC (18, 10%)<br>(4 infants had mild BGT, 13 had moderate, and one severe BGT lesions) | Abnormal PLIC (133, 76%)<br>(24 of these infants had moderate and 109 severe BGT lesions) |
|--------------------------|---|---|---|
| Total cohort (n = 176)   |   |   |   |
| Died                     | 0   | 0   | 37% (n = 49)  |
| Survivors (n = 126)      | Normal PLIC (n = 24)  | Equivocal PLIC (n = 18)   | Abnormal PLIC (n = 84)  |
| Survivors walking by 2 y | 100% (n = 24)   | 67% (n = 12)  | 12% (n = 10)  |



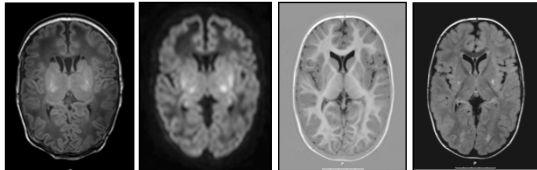
**T1**



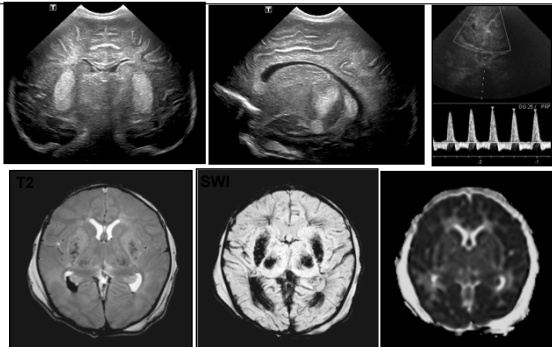
Abnormal PLIC-T1  
ADC abn BGT  
Suble T2 abn 2 yrs  
Dyskinetic CP  
Walks unaided  
TIQ 100 at 5 yrs

- Full-term male, GA 40 1/7 wks, BW 4200 gram.
- Uterus rupture, born by Emergency CS
- mmol/l.
- Apgar scores: 2, 4 and 6 at 1, 5 and 10 minutes.

**First MRI day 5**

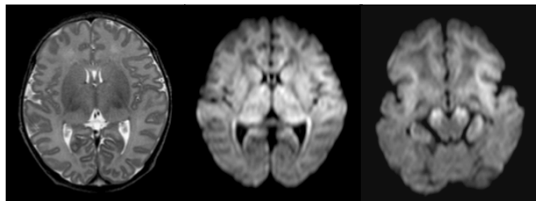


**Shoulder dystocia; Apgar 0/1/1; cap.pH 6.4**  
Homozygous for MTHFR (C677T)



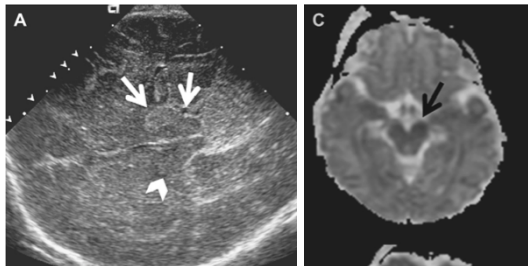
**Severe BGT and severe white matter involvement**  
**Near Total Brain Injury**  
MRI day 3

Also note involvement of CST



*The white cerebrum; Vermeulen RJ et al; Neuroped 2003*

**Head Ultrasound and MR Imaging in the Evaluation of Neonatal Encephalopathy: Competitive or Complementary Imaging Studies?**  
*Epelman et al. Magn Reson Imaging Clin N Am 20 (2012) 93–115*



**MRI Findings in Infants With Infantile Spasms After Neonatal HIE.**  
*Gano D et al; Pediatr Neurol 2013; 49:401-405*

**TABLE 2.**  
Brain injury in newborns with HIE that develop infantile spasms

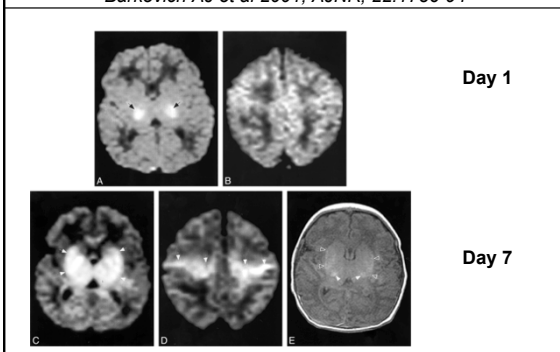
| Patient | Pattern | Cortical Extent | Midbrain | Pons | Medulla | Hypothalamus |
|---------|---------|-----------------|----------|------|---------|--------------|
| 1       | BC/T    | +               | +        | —    | —       | —            |
| 2       | Total   | ++++            | ++       | ++   | —       | +            |
| 3       | Total   | +++             | —        | —    | —       | —            |
| 4       | BC/T    | +               | +        | —    | —       | —            |
| 5       | Total   | ++++            | +        | ++   | +       | —            |
| 6       | BC/T    | +++             | +        | —    | —       | +            |
| 7       | Total   | ++++            | ++       | —    | ++      | —            |
| 8       | BC/T    | +               | —        | +    | —       | —            |

Abbreviations:  
BC/T = Basal ganglia/thalamus  
HIE = Hypoxic-ischemic encephalopathy  
Extent of cortical injury: + indicates 1–25%, ++ 26–50%, +++ 51–75%, ++++ 76–100% of cortex involved; levels of brainstem: — indicates absence of injury, + mild, ++ moderate to severe; hypothalamus: — indicates absence of injury, + presence.

Infantile spasms after HIE is associated with BGT injury particularly when extensive cortical injury and/or injury to the midbrain is present.



**Proton Spectroscopy and Diffusion Imaging on the First Day of Life after Perinatal Asphyxia: Preliminary Report.**  
*Barkovich AJ et al 2001, AJNR, 22:1786-94*



**Early MRI in term infants with perinatal hypoxic ischaemic brain injury: Interobserver agreement and MRI predictors of outcome at 2 years.**  
*Goergen SK et al. Clin Radiol 2014; 69(1):72-81*

**Table 6**  
 Kappa statistic values for agreement\* between the three radiologists regarding scores for different regions of the brain for diffusion-weighted imaging (DWI) and non-DWI MRI images.

| Region                          | ADC/DWI | T1/T2 WI |
|---------------------------------|---------|----------|
| Cortex                          | 0.64    | 0.44     |
| Paracentral white matter        | 0.27    | 0.35     |
| Lentiform nucleus               | 0.66    | -0.11    |
| Thalamus                        | 0.48    | 0.09     |
| Posterior limb internal capsule | 0.62    | -0.05    |
| Brainstem                       | 0.66    | 0.34     |
| Vermis                          | —       | -0.056   |

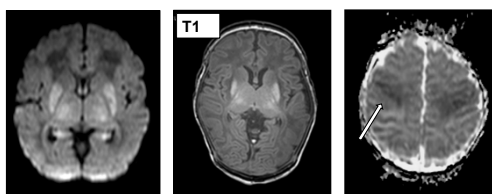
ADC, apparent diffusion coefficient; WI, weighted imaging.

\* Agreement was defined as all three radiologists scoring the region as "0" or at least two of the three scoring the region as 1, 2, or 3. A kappa value of 0.6–0.8 is considered to be good agreement, 0.4–0.6 as moderate, 0.2–0.4 as fair, and <0.2 as poor agreement. Negative kappa values indicate that the level of agreement is below that expected by chance, i.e., potential systematic disagreement between the observers.

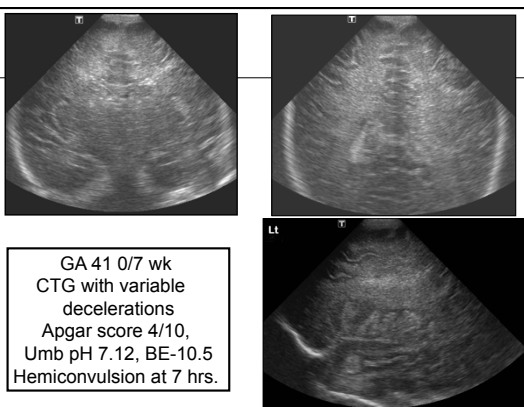
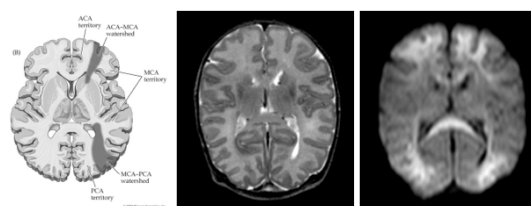
**Make use of DWI !**  
**Perform MRI day 4-6 whenever possible!!**

**Conclusions BGT injury due to “acute near total asphyxia”**

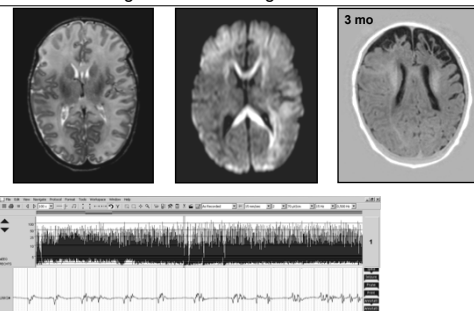
- extend over the first 3-5 days
- PLIC can be useful, but best appreciated in the second week after birth
- Involvement of perirolandic cortex important



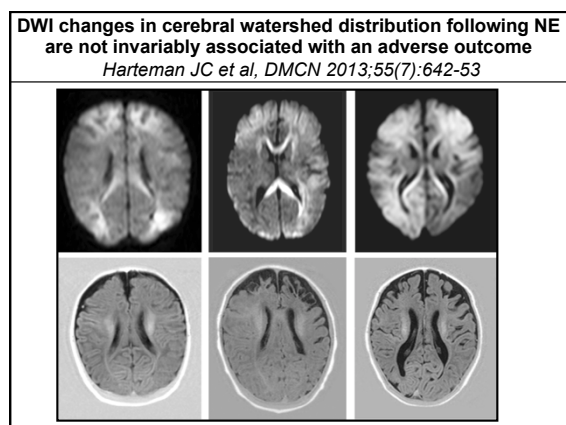
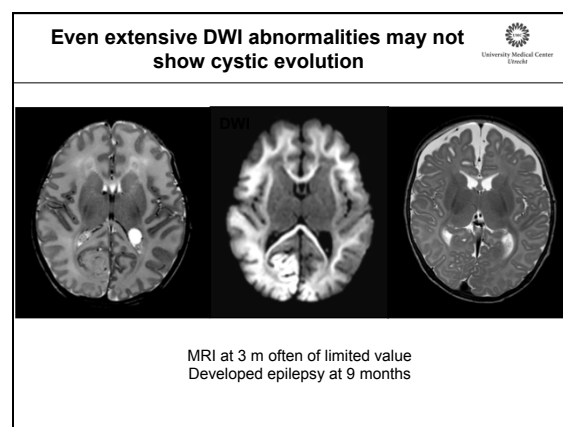
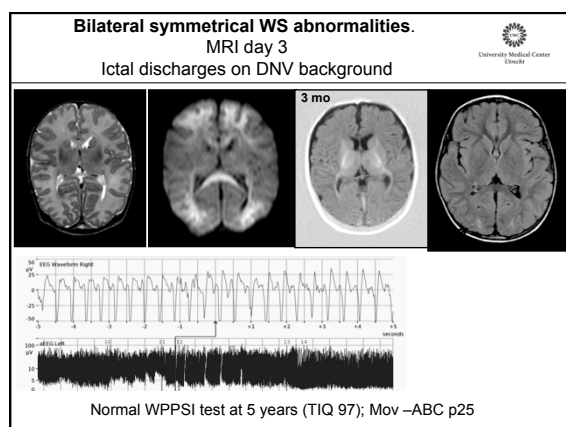
**II: “Subacute Partial Asphyxia”**



**Asymmetrical and extensive T2 and DWI changes, MRI day 7. Cystic evolution at 3 months.**  
**Ictal discharges on a BS background on the aEEG**



Griffiths test at 48 months: DQ 88/ TIQ 69 at 5 years



**DWI changes in cerebral watershed distribution following NE are not invariably associated with an adverse outcome**  
*Harteman JC et al, DMCN 2013;55(7):642-53*

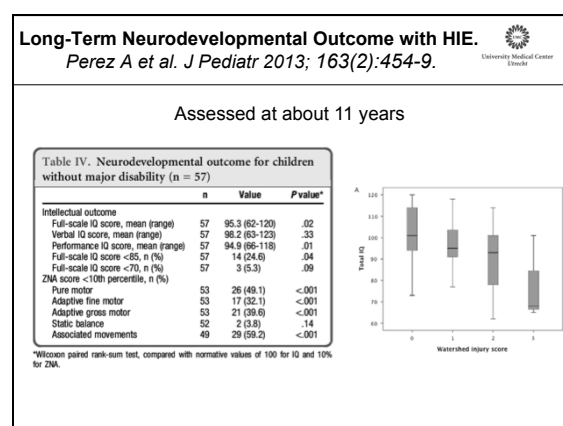
|                            | WS only<br>n=7 | WS and BGT involvement<br>n=11 |
|----------------------------|----------------|--------------------------------|
| Died                       | 0              | 6                              |
| DQ > 85 at 18-24 months    | 6              | 0                              |
| Postneonatal Epilepsy      | 1              | 3                              |
| Cerebral palsy             | 0              | 2                              |
| Behavioral problems/autism | 2              | 0                              |
| Cerebral Visual impairment | 2              | 1                              |

Importance of long term follow-up

**White Matter and Cortical Injury in HIE: Antecedent Factors and 2-Year Outcome.**  
*Martinez-Biarge M, J Pediatr 2012; 61(5):799-807*

Table I. Neurodevelopmental outcomes

|                                 | Normal and mild WM<br>n = 28 | Moderate WM<br>n = 34 | Severe WM<br>n = 22 | P      |
|---------------------------------|------------------------------|-----------------------|---------------------|--------|
| <b>Motor outcome</b>            |                              |                       |                     |        |
| CP, n (%)                       | 0                            | 1 (3)                 | 4 (18)              | .018   |
| Delayed walking (>18 mo), n (%) | 0                            | 1 (3)                 | 5 (23)              | .005   |
| <b>Other outcomes</b>           |                              |                       |                     |        |
| Feeding impairment, n (%)       | 0                            | 3 (9)                 | 5 (23)              | .026   |
| Communication impairment, n (%) | 1 (4)                        | 9 (28)                | 14 (64)             | <.001  |
| Visual impairment, n (%)        | 0                            | 1 (3)                 | 7 (32)              | <.001  |
| Hearing loss, n (%)             | 0                            | 1 (3)                 | 1 (4.5)             | .72    |
| Behavioral problems, n (%)      | 1 (3.5)                      | 10 (30)               | 13 (60)             | <.001  |
| Seizures (follow-up), n (%)     | 0                            | 3 (9)                 | 8 (36)              | <.001  |
| <b>DQ</b>                       |                              |                       |                     |        |
| DQ, mean $\pm$ SD               | 112 $\pm$ 14.1               | 104.3 $\pm$ 11.1      | 88.5 $\pm$ 20.5     | <.0001 |
| Motor                           | 108.4 $\pm$ 10.5             | 107.3 $\pm$ 15.9      | 92.8 $\pm$ 24.6     | .12    |
| Social                          | 114.3 $\pm$ 13.3             | 108.5 $\pm$ 12.9      | 96.1 $\pm$ 23.7     | .02    |
| Hearing & language              | 111.7 $\pm$ 18.4             | 106 $\pm$ 21.2        | 83.2 $\pm$ 23.6     | <.001  |
| Eye & hand coordination         | 109.4 $\pm$ 11.6             | 99.3 $\pm$ 11.5       | 83.4 $\pm$ 17.6     | <.001  |
| Performance                     | 115.6 $\pm$ 17.4             | 103.5 $\pm$ 12.4      | 83.6 $\pm$ 18.3     | <.001  |

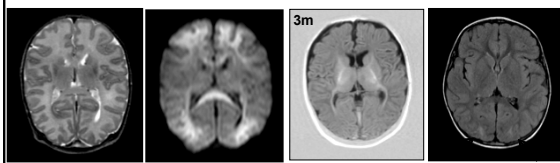




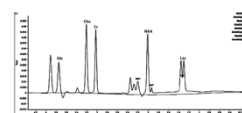
### Conclusions watershed/WM injury "Subacute Partial Asphyxia"



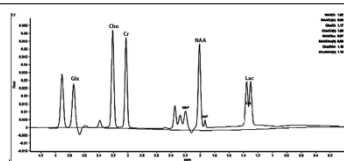
- DWI extremely useful and abnormalities may be missed with conventional T1/T2
- MRI at 3 months may underestimate degree of injury in the absence of cystic evolution
- Long-term outcome required, children grow into their deficit



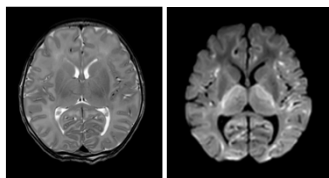
### Additional value of MRS



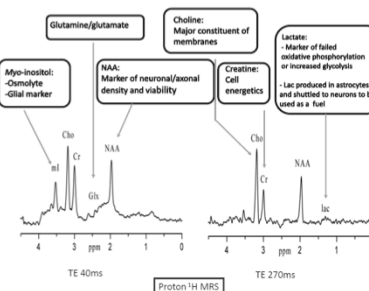
### Additional value of <sup>1</sup>H-MRS



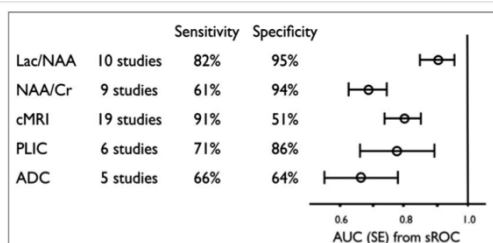
MRI at 36  
hrs of age



### Magnetic Resonance Spectroscopy Biomarkers in Term Perinatal Asphyxial Encephalopathy: From Neuropathological Correlates to Future Clinical Applications. Robertson NJ. *Current Pediatric Reviews*, 2014

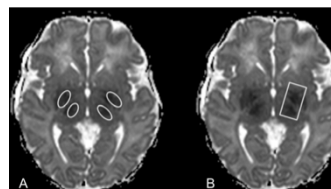


### Magnetic Resonance Spectroscopy Biomarkers in Term Perinatal Asphyxial Encephalopathy: From Neuropathological Correlates to Future Clinical Applications. Robertson NJ. *Current Pediatric Reviews*, 2014



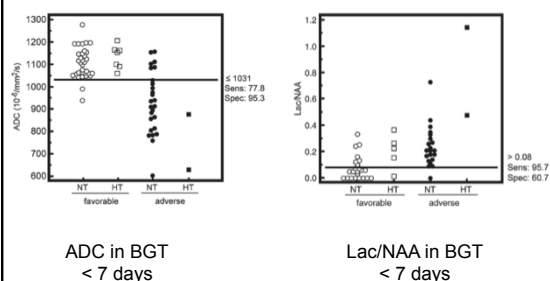
### MR Imaging and Outcome of Term Neonates with Perinatal Asphyxia : Value of Diffusion weighted MR Imaging and <sup>1</sup>H MR Spectroscopy.

Alderliesten T et al. *Radiology* 2011;261(1):235-42.



n= 81 (51+MRS)  
MRI (median 4 days);  
MRI combined with  
Lac/NAA ratios or  
ADCs in the BGT  
< 7 days of life had a  
better association with  
outcome than did  
MRI alone ( $P = .006$ )

**MR Imaging and Outcome of Term Neonates with Perinatal Asphyxia : Value of Diffusionweighted MR Imaging and 1 H MR Spectroscopy.**  
*Alderliesten T et al, Radiology 2011;261(1):235-42*



The American College of  
Obstetricians and Gynecologists  
WOMEN'S HEALTH CARE PHYSICIANS

**When to do the MRI  
in cooled infants?**

**Neonatal Encephalopathy and Neurologic Outcome, Second Edition**

*Report of the American College of Obstetricians and Gynecologists'  
Task Force on Neonatal Encephalopathy*

Executive Summary

Obstet Gynecol  
2014;123(4):896-901

Early MRI obtained between 24-96 hrs may be more sensitive for the delineation of the timing of perinatal cerebral injury, whereas an MRI at 10 days (7-21 d) will best delineate the full extent of cerebral injury.

Westin et al *Acta Paed Scand* 1962; **51**: 1-80.

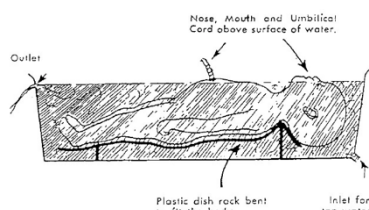
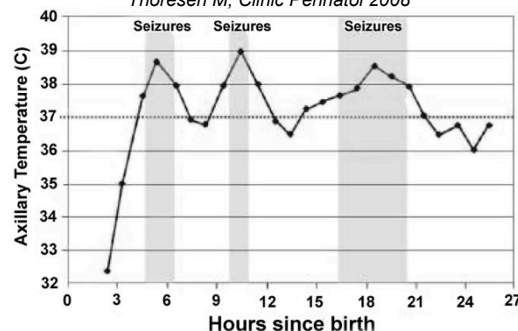


Figure 1. The immersion bath used by Westin *et al.* [33] to resuscitate and cool newborn infants who were unresponsive (Apgar 0-3) after 5 min.

**Supportive care during hypothermia;**

*Thoresen M, Clin Perinatol 2008*



Apgar 0 (1 min), 0 (5 min), 2 (10 min). Intubated by 53 minutes when arrived in hospital. Rapid rewarming in incubator from 2 hours of age

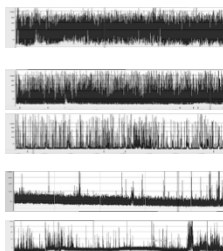
**Criteria for hypothermia**

- Perinatal asphyxia

- mmol/L

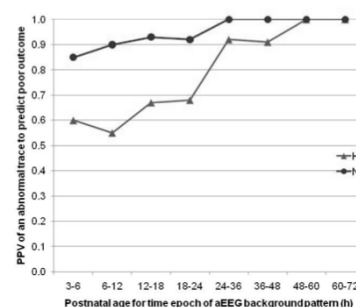
AND

- Encephalopathy
  - Thompson
  - aEEG (DNV or worse)



**Effect of HT Treatment on the Predictive Value of aEEG**

*Thoresen M, Hellström-Westas L, Liu X, de Vries LS, Pediatrics; 2010; 125:e358-66*



### Assessment of brain tissue injury after moderate hypothermia in neonates with hypoxic-ischemic encephalopathy: a nested substudy of a RCT



Rutherford et al

The accuracy of prediction by MRI of death or disability to 18 months of age was similar in both groups

### Assessment of brain tissue injury after moderate hypothermia in neonates with HIE with and without HT

Rutherford et al *Lancet Neurol.* 2010;9:39-45  
and Cheong JLY et al, *Arch Pediatr Adolesc Med* 2012; 166:634

Therapeutic hypothermia was associated with a reduction in lesions in (OR and 95% CI)

|      | Rutherford       | Cheong            |
|------|------------------|-------------------|
| WM   | 0.30 (0.12-0.77) | 0.28 (0.09-0.82 ) |
| BGT  | 0.36 (0.15-0.84) | 0.41 (0.17-1.00)  |
| PLIC | 0.38 (0.17-0.85) |                   |

See also, Shankaran S et al; *Arch Dis Child* 2012  
and Bonifacio S et al, *J Pediatr* 2011

### The effect of whole-body cooling on brain metabolism following perinatal hypoxic-ischemic injury.

Corbo ET et al; *Pediatr Res* 2012; 71(1):85-92

Retrospective comparison of HT and NT patients

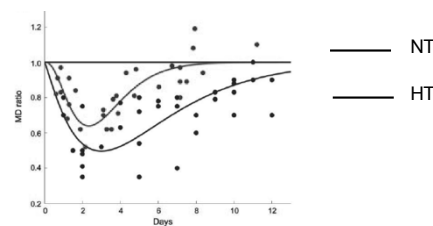
**Table 4.** Metabolite ratios in the basal ganglia and thalami of healthy neonates and neonates with HIE who did (HIE/HT) and did not (HIE/NT) receive hypothermia treatment

|                      | Control<br>(n=9) | HIE/NT*<br>(n=19) | HIE/HT*<br>(n=18) | P value       |               |                    | Correlation coefficient<br>to encephalopathy<br>score |
|----------------------|------------------|-------------------|-------------------|---------------|---------------|--------------------|---|
|                      |                  |                   |                   | C vs. HIE/NT* | C vs. HIE/HT* | HIE/NT vs. HIE/HT* |   |
| <b>Basal ganglia</b> |                  |                   |                   |               |               |                    |   |
| NAA/Cr               | 1.07 ± 0.07      | 0.80 ± 0.12       | 0.83 ± 0.15       | <0.01         | <0.01         | 1.00               | -0.12 (P = 0.51)                                      |
| NAA/Cho              | 0.74 ± 0.10      | 0.57 ± 0.09       | 0.53 ± 0.13       | <0.01         | <0.01         | 0.74               | -0.39 (P = 0.02)                                      |
| Cho/Cr               | 1.48 ± 0.27      | 1.44 ± 0.07       | 1.74 ± 0.59       | 1.00          | 0.50          | 0.11               | 0.46 (P < 0.01)                                       |
| % Neonates with Lac  | 0                | 15.8              | 35.3              |               |               |                    |   |
| <b>Thalami</b>       |                  |                   |                   |               |               |                    |   |
| NAA/Cr               | 1.11 ± 0.18      | 0.97 ± 0.17       | 0.83 ± 0.24       | 0.39          | 0.02          | 0.17               | -0.42 (P = 0.02)                                      |
| NAA/Cho              | 0.78 ± 0.10      | 0.62 ± 0.13       | 0.51 ± 0.18       | 0.05          | <0.01         | 0.12               | -0.57 (P < 0.01)                                      |
| Cho/Cr               | 1.46 ± 0.31      | 1.58 ± 0.29       | 1.59 ± 0.40       | 1.00          | 0.86          | 1.00               | 0.09 (P = 0.62)                                       |
| % Neonates with Lac  | 0                | 10.5              | 29.4              |               |               | 0.01*              |   |

Values are expressed as the group mean ± SD. Statistical differences were measured by one-way ANOVA with Bonferroni post hoc or  $\chi^2$  analysis. Correlation coefficients were determined using Spearman's  $\rho$  analysis.  
C, control; Cho, choline; Cr, creatine; HIE/HT, hypoxic-ischemic injury with hypothermia therapy; Lac, lactate; NAA, N-acetyl aspartate; NT, not significant.

### Impact of therapeutic hypothermia on MRI diffusion changes in neonatal encephalopathy.

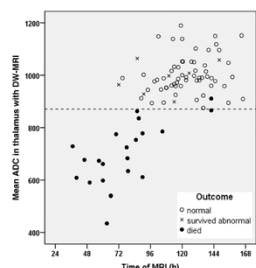
Bednarek N et al; *Neurology* 2012; 78(18):1420-7



TH slows the evolution of diffusion abnormalities on MRI following HIE in term infants

### MRI and spectroscopy in (near) term neonates with perinatal asphyxia and therapeutic hypothermia.

Alderliesten T et al, *ADCFN* 2016



**Figure 2** Apparent diffusion coefficient (ADC) values ( $\times 10^{-6} \text{ mm}^2/\text{s}$ ) of the thalamus versus time after birth in infants with a good outcome (open circles) or adverse outcome (survived: crosses; died: closed circles). The horizontal dotted line indicates the cut-off value of  $871 \times 10^{-6} \text{ mm}^2/\text{s}$ . DWI, diffusion-weighted MRI.

#### What this study adds?

- Low apparent diffusion coefficient values and high lactate/N-acetylaspartate (Lac/NAA) ratios in the basal ganglia and thalamus in infants with perinatal asphyxia are associated with an adverse outcome, also during and after therapeutic hypothermia.
- Results of DW-MRI (apparent diffusion coefficient values) and proton MRS (Lac/NAA ratios) can be used as biomarkers of outcome in infants with perinatal asphyxia and therapeutic hypothermia; cut-off levels of these biomarkers differed slightly from those in normothermic infants.
- Apparent diffusion coefficient values were dependent on the MRI sequences.
- Findings of proton MRS in 1.5 T and 3.0 T magnetic fields were comparable.

### Comparison early and later MRI in infants treated with hypothermia



|                          | number     | Day of MRI          | Agreement                               |
|--------------------------|------------|---------------------|---|
| Wintermark et al, 2011   | 12         | 1, and 2-3 and 8-13 | Day 2-3 showed good agreement with 8-13 |
| Gano et al, 2013         | 24         | 1 and 3             | Good agreement DTI/ MRS values          |
| Skranes et al, 2015      | 41         | 4 and 11            | Good agreement in 37/41 infants         |
| Chakkarapani et al, 2016 | 89 (43 HT) | 3-6 and 10-14       | Good agreement; worsened in 1 of 43     |

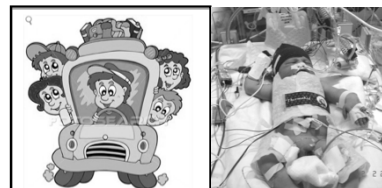
Perform MRI after rewarming unless redirection of care is considered

### Neuro-imaging changes during the first week



- Cranial ultrasound abnormalities, especially in the basal ganglia and thalami become clear (48-72 hrs)
- PLIC will change and may become clearly abnormal in second part of the first week
- DWI will increase and change from thalami only to thalami and basal ganglia and corpus callosum
- <sup>1</sup>H-MRS will show increased lactate from day 1 onward, but NAA will decrease later in the first week

### Outcome in infancy and at school age following hypothermia



### Neurological outcomes at 18 months of age after moderate hypothermia for perinatal hypoxic ischaemic encephalopathy: synthesis and meta-analysis of trial data. Edwards AD et al; BMJ 2010; 340:c363. doi: 10.1136/bmj.c363.

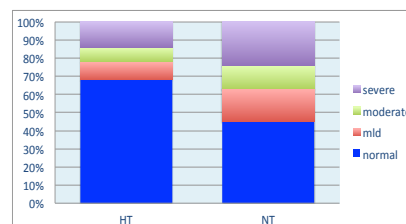
| Study or subgroup | Hypothermia |       | Normothermia |       | Risk ratio (95% CI) | Weight (%) | Risk ratio (95% CI) |
|-------------------|-------------|-------|--------------|-------|---------------------|------------|---------------------|
|                   | Events      | Total | Events       | Total |                     |            |                     |
| CoolCap           | 29          | 116   | 20           | 118   |                     | 22.9       | 1.48 (0.89 to 2.45) |
| NICHD             | 32          | 102   | 22           | 106   |                     | 24.9       | 1.51 (0.94 to 2.42) |
| TOBY              | 71          | 163   | 45           | 162   |                     | 52.2       | 1.57 (1.16 to 2.12) |
| Total (95% CI)    | 381         | 381   | 87           | 386   |                     | 100.00     | 1.53 (1.22 to 1.93) |
| Total events      | 132         |       | 87           |       |                     |            |                     |

effect of therapeutic hypothermia compared with standard care (normothermia) on survival with normal neurological function ("events").

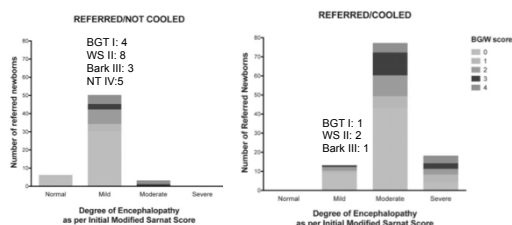
### Effects of Hypothermia for Perinatal Asphyxia on Childhood Outcomes. Azzopardi D et al, TOBY trial; NEJM 2014



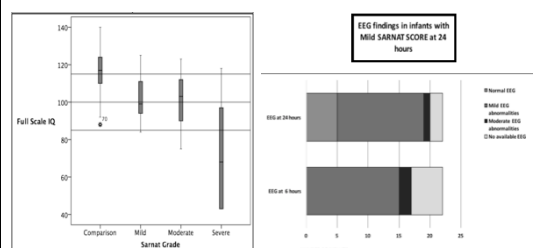
#### Percentage of children with a disability



### Newborns Referred for Therapeutic Hypothermia: Association between Initial Degree of NE and Severity of Brain Injury (What About the Newborns with Mild NE on Admission?) Maude Gagne-Loranger; Am J Perinatol 2016;33:195–202.

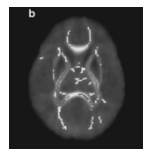


### Early EEG and outcome at 5 years following mild neonatal hypoxic ischemic encephalopathy. Murray D et al; Pediatrics 2016



Do we need aEEG after birth asphyxia in level 2 hospitals as well for early assessment?

## “Use of advanced neuro-imaging in infants treated with hypothermia



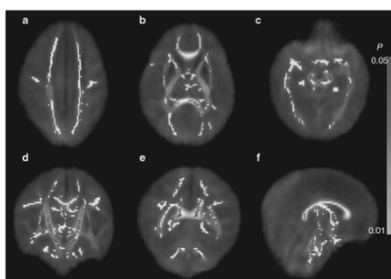
## Prediction of neurodevelopmental outcome after HIE treated with HT by DTI analyzed using tract-based spatial statistics.

Tusor N et al, *Pediatr Res* 2012; 72(1):63-9.

- were carried out at a median (range) age of 24 (12–28) mo
- Significantly lower FA values ( $P < 0.05$ ) were found in the centrum semiovale, corpus callosum (CC), anterior and posterior limbs of the internal capsule, external capsules, fornix, cingulum, cerebral peduncles, optic radiations, and inferior longitudinal fasciculus.
- DTI analyzed by TBSS provides a qualified biomarker that can be used to assess the efficacy of additional neuroprotective therapies after HIE.

## Prediction of neurodevelopmental outcome after HIE treated with HT by DTI analyzed using tract-based spatial statistics.

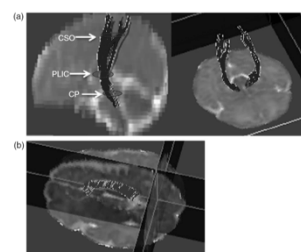
Tusor N et al, *Pediatr Res* 2012; 72(1):63-9.



Mean FA skeleton (yellow) overlaid on mean FA image. Voxels wherein infants with unfavorable outcome had significantly lower FA are shown in blue.

## White matter tract integrity and developmental outcome in newborn infants with HIE treated with hypothermia.

Massaro A et al, *DMCN* 2015; 57(5):441-8



Fifty-two infants DTI at median age of 8 days.

Outcomes were assessed in 42/50 (84%) children at 15 months and 35/50 (70%) at 21 months.

Lower FA values in CC and CST were associated with lower MDI and PDI

Figure 1. Visualization of fiber tracts by diffusion tensor tractography. (a) Cortical spinal tract delineation by constraints at the level of the cerebral peduncle (CP), posterior limb of the internal capsule (PLIC), and centrum semiovale (CSO). (b) Corpus callosum delineation on sagittal images.

## White matter tract integrity and developmental outcome in newborn infants with HIE treated with hypothermia.

Massaro A et al, *DMCN* 2015; 57(5):441-8

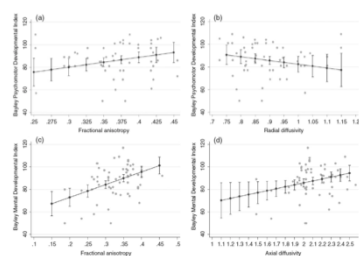
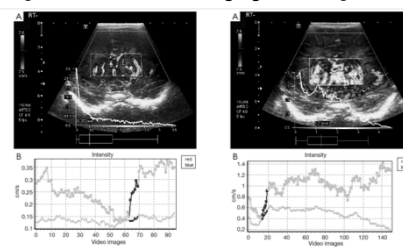


Figure 2. Relationships between Bayley scales and diffusion tensor imaging measures. Bars represent 95% confidence interval of regression model. Significant associations are shown between Bayley Motor Development Index and contralateral tract (a) fractional anisotropy ( $p=0.001$ ) and (b) radial diffusivity ( $p=0.018$ ). Significant associations were also observed between Bayley Motor Development Index and corpus callosum (c) fractional anisotropy ( $p=0.001$ ) and (d) axial diffusivity ( $p=0.025$ ).

## Basal ganglia perfusion using dynamic color Doppler sonography in infants with HIE receiving therapeutic HT: a pilot study.

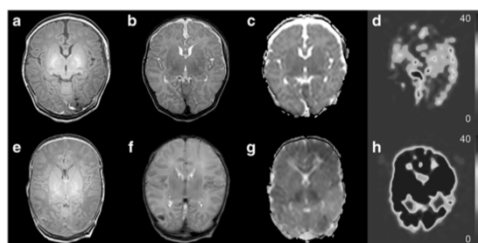
Faingold R et al, *Quant Imaging Med Surg* 2016;6:510



n=28 who had cUS <48 hours of HT treatment. CPI values were higher in the 7 non-survivors when compared to survivors ( $0.226 \pm 0.221$  vs.  $0.111 \pm 0.082$  cm/sec;  $P=0.02$ ).

# Arterial spin-labelling perfusion MRI and outcome in neonates with HIE.

De Vis JB et al; Eur Radiol 2015; 25:113-21.



Normal outcome (top); abnormal outcome (bottom) with hyperperfusion

# Arterial spin-labelling perfusion MRI and outcome in neonates with HIE.

De Vis JB et al; Eur Radiol 2015;25:113-21.



**Table 4** Predictive values of the MRI parameters for hypoxic-ischemic encephalopathy

| Parameter                                 | N*        | AUC (95 % CI)    | Cutoff | Sensitivity (%) | Specificity (%) | PPV (%) | NPV (%) |
|---|-----------|------------------|--------|-----------------|-----------------|---------|---------|
| MRI score                                 | 28 (8/20) | 0.97 (0.82-0.99) | > 1    | 100             | 95              | 89      | 100     |
| ASL (ml/100 g/min)                        | 24 (7/17) | 0.92 (0.74-0.99) | > 51   | 85.7            | 100             | 100     | 96      |
| Lac/NAA                                   | 25 (7/18) | 0.96 (0.80-0.99) | > 0.28 | 100             | 89              | 78      | 100     |
| ADC (10 <sup>-6</sup> mm <sup>2</sup> /s) | 28 (8/20) | 0.92 (0.75-0.99) | ≤ 910  | 75              | 100             | 100     | 92      |

PPV, positive predictive value; NPV, negative predictive value; HIE-stage, hypoxic-ischemic encephalopathy grade; aEEG, amplitude-integrated electroencephalography

\* Numbers in parentheses are number of patients with adverse/favourable outcome

ASL perfusion was a mean value obtained from region-of-interest analysis in the basal ganglia and thalami. Lac/NAA was measured in the left basal ganglia, and ADC was a mean value obtained from region-of-interest analysis in the basal ganglia and the thalami

Multiple regression analysis demonstrated that the combination of Lac/NAA and ASL was the best predictor of outcome ( $r^2=0.86$ ,  $p<0.001$ ).